

**UNITED STATES DEPARTMENT OF COMMERCE****Patent and Trademark Office**Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/464,416

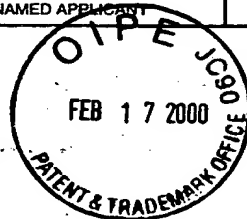
12/16/99

THANAVALA

RFP:156BUS

DUNN & ASSOCIATES
P O BOX 96
NEWFANE NY 14108

0262/0207



1614

DATE MAILED:

02/07/00

NOTICE TO FILE MISSING PARTS OF APPLICATION**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 570

☒ 1. The statutory basic filing fee is:

- ☐ missing.
☐ insufficient.

Applicant must submit \$ 380 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ _____ for _____ total claims over 20.

\$ _____ for _____ independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☐ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

02/18/2000 HSAIFERA 00000022 09464416

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65.00 DP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Yasmin Thanavala Art Unit: 1614

Serial No: 09/464,416

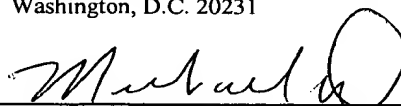
Filed: December 16, 1999

Examiner: Unknown

For: ORAL IMMUNOLOGY
USING PLANT PRODUCT
CONTAINING A NON-
ENTERIC PATHOGEN
ANTIGEN



certify that this Claim for Small Entity Status is being deposited
on February 15, 2000 with the U.S. Postal Service as first class
mail addressed to the Assistant Commissioner for Patents,
Washington, D.C. 20231


Michael L. Dunn
Registration No. 25,330

CLAIM FOR SMALL ENTITY STATUS
37 CFR 1.9(f) and 1.27 (b) and (d)


Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

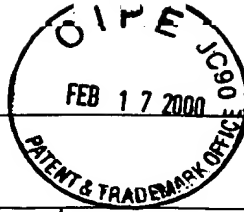
Applicant hereby claims small entity status in connection with the above-identified application. Four verified statements under 37 CFR 1.27(b) and 37 CFR 1.27(d) are enclosed.

Dated: February 15, 2000

Respectfully submitted,


Michael L. Dunn
Attorney for Applicant(s)
Reg. No. 25,330
P.O. Box 10
Newfane, New York 14108
Telephone: (716) 433-1661

MLD/cah



Sector 1/11

TRANSMITTAL LETTER Applicant(s): Yasmin Thanavala, et al.		Attorney Docket No: RPP:156B US	
Serial No: 09/464,416	Filing Date: December 16, 1999	Examiner: Unknown	Art Unit: 1614
Invention: ORAL IMMUNOLOGY USING PLANT PRODUCT CONTAINING A NON-ENTERIC PATHOGEN ANTIGEN			

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

Transmitted herewith is:

- ☒ Statement in Compliance with 37 CFR 1.63 submitting Declaration attached to specification with \$65.00 surcharge.
- ☒ Claim for Small Entity Status with four Verified Statements Under CFR 1.9 (f) and 1.27 (b) and (d).

IN THE ABOVE IDENTIFIED APPLICATION.

- ☐ No additional fee is required.
- ☒ A check in the amount of \$65.00 is attached to cover above fees.
- ☐ Charge \$ to Deposit Account No. .
A duplicate copy of this sheet is enclosed.
- ☒ Please charge any additional fees which may be due with respect to the accompanying papers, including those which may be due under 37 C.F.R. 1.16, 1.17, 1.18 and 1.20, or credit any overpayment to Deposit Account No. 04-1790.
A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Dated: February 15, 2000

Michael L. Dunn
For Applicant(s)
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Newfane, New York 14108
Telephone: (716) 433-1661

MLD/cah
Enclosures
cc: M. DeLellis



RPP:156B US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Yasmin Thanavala, et al.

Art Unit: 1614

Serial No: 09/464,416

Filed: December 16, 1999

Examiner: Unknown

For: ORAL IMMUNOLOGY
USING PLANT PRODUCT
CONTAINING A NON-
ENTERIC PATHOGEN
ANTIGEN

I certify that this Statement is being deposited on February 15, 2000 with the U.S. Postal Service as first class mail addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

Michael L. Dunn

Registration No. 25,330

STATEMENT IN COMPLIANCE WITH 37 CFR 1.63

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application mailed February 7, 2000 (copy attached), this is to certify that the specification attached to the enclosed Declarations is a copy of the same specification filed in the Office on December 16, 1999, in order to obtain a filing date.

The \$65.00 surcharge is enclosed for late filing of the Declarations.

This Statement is being made in conformity with the Commissioner's Notice published in 1035 TMOG 2 (October 4, 1983).

Dated: February 15, 2000

Respectfully submitted,

Michael L. Dunn
Attorney for Applicant(s)
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